Received by NSD/FARA Registration Unit 11/30/2023 4:30:58 PM

OMB No. 1124-0006; Expires October 31, 2026

U.S. Department of Justice
Washington, DC 20530

Exhibit A to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at https://www.fara.gov.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: https://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: https://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .22 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant
Independent Diplomat, Inc.

2. Registration Number
5860

3. Primary Address of Registrant
234 Fifth Avenue, New York, NY 10001

4. Name of Foreign Principal
Economic Security Council of Ukraine (ESCU)

5. Address of Foreign Principal
126/23 Zhylianska Street
Kyiv
UKRAINE 01032

6. Country/Region Represented
UKRAINE

7. Indicate whether the foreign principal is one of the following:

- ☐ Government of a foreign country [1]
- ☐ Foreign political party
- ☒ Foreign or domestic organization: If either, check one of the following:
  - ☐ Partnership
  - ☐ Corporation
  - ☐ Association
  - ☐ Committee
  - ☐ Voluntary group
  - ☒ Other *(specify)* Civil Society Organization
- ☐ Individual-State nationality _____

8. If the foreign principal is a foreign government, state:

a) Branch or agency represented by the registrant

b) Name and title of official(s) with whom registrant engages

1 "Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

Received by NSD/FARA Registration Unit 11/30/2023 4:30:58 PM

9. If the foreign principal is a foreign political party, state:

a) Name and title of official(s) with whom registrant engages

b) Aim, mission or objective of foreign political party

10. If the foreign principal is not a foreign government or a foreign political party:

a) State the nature of the business or activity of this foreign principal.

Established in 2021, ESCU investigates, identifies and recommends steps to counter internal and external threats to Ukraine's economic security, with a particular focus on threats from Russia since the start of its full-scale invasion of Ukraine in February 2022.

b) Is this foreign principal:

Supervised by a foreign government, foreign political party, or other foreign principal	Yes ☐ No ☒
Owned by a foreign government, foreign political party, or other foreign principal	Yes ☐ No ☒
Directed by a foreign government, foreign political party, or other foreign principal	Yes ☐ No ☒
Controlled by a foreign government, foreign political party, or other foreign principal	Yes ☐ No ☒
Financed by a foreign government, foreign political party, or other foreign principal	Yes ☐ No ☒
Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes ☐ No ☒

11. Explain fully all items answered "Yes" in Item 10(b).

12. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

ESCU is a registered non-profit, non-governmental organization in Ukraine.

Received by NSD/FARA Registration Unit 11/30/2023 4:30:58 PM

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
11/30/2023	Reza Afshar	/s/Reza Afshar

Received by NSD/FARA Registration Unit 11/30/2023 4:30:58 PM

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
30 Nov 2023	Reza Afshar	

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

OMB No. 1124-0004; Expires October 31, 2026

U.S. Department of Justice

Washington, DC 20530

Exhibit B to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at https://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: https://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: https://www.fara.gov .

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .32 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant	2. Registration Number
Independent Diplomat, Inc.	5860

3. Name of Foreign Principal
Economic Security Council of Ukraine (ESCU)

Check Appropriate Box:

4. ☐ The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.

5. ☐ There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.

6. ☒ The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.

7. What is the date of the contract or agreement with the foreign principal? 08/01/2023

8. Describe fully the nature and method of performance of the above indicated agreement or understanding.

Independent Diplomat will provide advice and support as needed related to the diplomatic outreach of the Economic Security Council of Ukraine (ESCU). The work is supported by grant funding from Next Level Initiatives, but the grant is not exclusively for work related to the foreign principal. The work does not have a pre-defined duration and no fees or expenses will be charged to the foreign principal.

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

9. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

(a) Facilitation of diplomatic engagement;
(b) Preparation and follow-up for diplomatic engagement.

10. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act[1].

Yes ☒ No ☐

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Facilitate diplomatic visits, which include Washington DC, including meetings with US Government officials and Congressional staff. Staff members may seek US Government and Congressional views by meeting with US Government officials and Congressional staffers.

11. Prior to the date of registration[2] for this foreign principal has the registrant engaged in any registrable activities, such as political activities, for this foreign principal?

Yes ☒ No ☐

If yes, describe in full detail all such activities. The response should include, among other things, the relations, interests, and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored, or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers, and subject matter. The response must also include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's activities, including political activities.

ID set up and facilitated a visit to Washington DC. It included meetings with officials in the NSC; Departments of Commerce, Justice, State and Treasury; and Congressional staffers.

Set forth below in the required detail the registrant's political activities.

Date	Contact	Method	Purpose
See Appendix for Response			

12. During the period beginning 60 days prior to the obligation to register[3] for this foreign principal, has the registrant received from the foreign principal, or from any other source, for or in the interests of the foreign principal, any contributions, income, money, or thing of value either as compensation, or for disbursement, or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail an account of such monies or things of value.

Date Received	From Whom	Purpose	Amount/Thing of Value
07/19/2023	Next Level Initiatives	Diplomatic advice and support for partners in Ukraine, including the aforementioned work in support of the Economic Security Council of Ukraine. Grant support pre-dated specific engagement with foreign principal.	$ 50,030.50
			$ 50,030.50 Total

13. During the period beginning 60 days prior to the obligation to register[4] for this foreign principal, has the registrant disbursed or expended monies, or disposed of anything of value other than money, in connection with activity on behalf of the foreign principal or transmitted monies to any such foreign principal?

Yes ☒ No ☐

If yes, set forth below in the required detail an account of such monies or things of value.

Date	Recipient	Purpose	Amount/Thing of Value
09/01/2023-11/30/2023	Direct Expenditure	Costs for travel, accommodation, transport, IT, office supplies for US diplomatic visit.	$ 40,643.00
			$ 40,643.00 Total

1 "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

2,3,4 Pursuant to Section 2(a) of the Act, an agent must register within ten days of becoming an agent, and before acting as such.

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
11/30/2023	Reza Afshar	/s/Reza Afshar

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
30 Nov 2023	Reza Afshar	

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

Appendix
Response to Item 11-Detail

Date	Contact	Method	Purpose
08/02/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Email exchanges	To Discuss the situation in Ukraine
08/10/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Phone call	To Discuss the situation in Ukraine
08/14/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Email exchanges	To Discuss the situation in Ukraine
09/08/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Email exchanges	To Discuss the situation in Ukraine
09/11/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Email exchange	Alex Moree, Legislative Aide to Senator Marco Rubio
09/14/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Email exchange	Alex Moree, Legislative Aide to Senator Marco Rubio
10/06/2023	Jason Park, US Mission to the UN	Email	To discuss upcoming visit to the US
10/10/2023	Jason Park, US Mission to the UN	Email	To discuss upcoming visit to the US
10/16/2023	Philip Bednarcyzk, Professional Staff, House Foreign Affairs Committee	Meeting	To discuss the situation in Ukraine
10/17/2023	Lenny Young, Chief of Staff, and Sharon Wagener, Legislative Director to Congresswoman Julia Brownley	Meeting	To discuss the situation in Ukraine and the activities of Haas Automation (HQ'd in Congresswoman Brownley's constituency) whose CNC machine tools operate in Russian military plants.
10/17/2023	Josh Hollingsworth, Defense Fellow, and Dane Borie, Congressional Fellow to Congressman Jason Crow	Meeting	To discuss the situation in Ukraine
10/17/2023	Claire Dentner, Legislative Assistant to Congressman Brian Fitzpatrick	Meeting	To discuss the situation in Ukraine
10/17/2023	Marshele Brynt, Senior Policy Advisor to Congressman Mike Quigley	Meeting	To discuss the situation in Ukraine
10/18/2023	Maggie Angel, Legislative Assistant to Senator Dick Durbin	Meeting	To discuss the situation in Ukraine
10/18/2023	Aaron Groce, Legislative Director to Congressman Sean Casten	Meeting	To discuss the situation in Ukraine
10/18/2023	Valerie Foy, Legislative Assistant to Congressman Don Bacon.	Meeting	To discuss the situation in Ukraine
10/18/2023	John Butchko and Jennifer Rivera-Galindo, Legislative Correspondents to Senator Robert Menendez	Meeting	To discuss the situation in Ukraine

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

Date	Contact	Method	Purpose
10/18/2023	Treasury, Office of Foreign Assets Control: Jessica Rhee, Sanctions Compliance Officer; Isabella Bennett, Terrorist Financing and Financial Crimes	Meeting	To discuss the situation in Ukraine
10/19/2023	Clark Gascoigne, Senior Policy Advisor to Senator Sheldon Whitehouse	Meeting	To discuss the situation in Ukraine
10/19/2023	Alex Moree, Legislative Aide to Senator Marco Rubio	Meeting	To discuss the situation in Ukraine
10/19/2023	Jacob Jernigan, Military Legislative Assistant to Congresswoman Marcy Kaptur	Meeting	To discuss the situation in Ukraine
10/19/2023	Anton Cooper, Director Eastern Europe, National Security Council	Meeting	To discuss the situation in Ukraine
10/19/2023	State Department: Jim Mullinax (Director) and Vanessa Jarnes, Office of Economic Sanctions Policy and Implementation; Paul Estrada, Office of Eastern European Affairs; Paul Pavwoski, Office of Russian Affairs.	Meeting	To discuss the situation in Ukraine
10/20/2023	Nick Melcher, Staff Assistant to Congressman Ted Lieu	Meeting	To discuss the situation in Ukraine
10/20/2023	Gianluca Nigro, Legislative Director to Congressman Brendon Boyle	Meeting	To discuss the situation in Ukraine
10/20/2023	Dwayne Clark, Professional Staff, and Lance Kokonos, Policy Analyst, House Foreign Affairs Europe Subcommittee	Meeting	To discuss the situation in Ukraine
10/20/2023	Department of Justice, Money Laundering and Asset Recovery Unit: Mary Butler, Chief of International Unit; Daniel Claman, Principal Deputy Chief of International Unit.	Meeting	To discuss the situation in Ukraine
10/20/2023	Department of Commerce, Bureau of Industry and Security: Kevin Kurland, Deputy Assistant Secretary Export Enforcement; John Sonderman, Director Office of Export Enforcement; Linda Minsker, Director Office of Export Analysis; Dan Clutch, Deputy Director Office of Export Enforcement	Meeting	To discuss the situation in Ukraine
10/20/2023	Hannah Thoburn, Senior Professional Staff Member, Katie McCabe Chaudoin, Senior Counsel, Matt Sullivan, General Counsel, Joseph Biegun, Policy Analyst, Senate Foreign Relations Committee	Meeting	To discuss the situation in Ukraine

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM

Date	Contact	Method	Purpose
10/25/2023	Tara LaMorte, Chief and Asset Forfeiture Coordinator, Money Laundering and Transnational Criminal Enterprises Unit, US Attorney's Office, Southern District of New York	Meeting	To discuss the situation in Ukraine
10/27/2023	Gil Thompson, Senior Foreign Policy Advisor to Congressman Brad Schneider and Stephanie Pendarvis, Legislative Director to Congressman Joe Wilson	Email	To discuss the situation in Ukraine
11/01/2023	Gil Thompson, Senior Foreign Policy Advisor to Congressman Brad Schneider and Stephanie Pendarvis, Legislative Director to Congressman Joe Wilson	Email	To discuss the situation in Ukraine
11/06/2023	Tara LaMorte, Chief and Asset Forfeiture Coordinator, Money Laundering and Transnational Criminal Enterprises Unit, US Attorney's Office, Southern District of New York	Email	To discuss the situation in Ukraine

Received by NSD/FARA Registration Unit 11/30/2023 4:31:00 PM