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OMB No. 1124-0003; Expires May 31, 2020

**U.S. Department of Justice**

Washington, DC 20530

# Amendment to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. File this amendment form for any changes to a registration. Compliance is accomplished by filing an electronic amendment to registration statement and uploading any supporting documents at https://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: https://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: https://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

| 1. Name of Registrant | 2. Registration No. |
|---|---|
| Daniel J. Edelman, Inc. | 3634 |

3. This amendment is filed to accomplish the following indicated purpose or purposes:

- ☐ To give a 10-day notice of change in information as required by Section 2(b) of the Act.
- ☒ To correct a deficiency in
  - ☐ Initial Statement
  - ☒ Supplemental Statement for the period ending 7-31-2020
  - ☐ Other purpose *(specify)* _____
- ☐ To give notice of change in an exhibit previously filed.

4. If this amendment requires the filing of a document or documents, please list:
FARA 7-31-2020 Supplemental Report
FARA 7-31-2020 Supplemental Report Attachments A-C Amended

5. Each item checked above must be explained below in full detail together with, where appropriate, specific reference to and identity of the item in the registration statement to which it pertains. *(If space is insufficient, a full insert page must be used.)*

To correct the disbursement information reflected in the 7-31-2020 Supplemental Report, Attachment C



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## EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

| (Date of signature) | (Print or type name under each signature or provide electronic signature [1]) | |
|---|---|---|
| October 06, 2020 | /s/ Randall Corley | eSigned |
| | | |
| | | |
| | | |

[1] This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

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OMB No. 1124-0002; Expires July 31, 2023

**U.S. Department of Justice**

Washington, DC 20530

# Supplemental Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

For 6 Month Period Ending 7-31-2020
(Insert date)

## I - REGISTRANT

1. (a) Name of Registrant

Daniel J. Edelman Inc.

(b) Registration Number

3634

(c) Primary Business Address

200 E. Randolph St.
62nd Floor
Chicago, IL 60601

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

| | | |
|---|---|---|
| (1) Residence address(es) | Yes ☐ | No ☐ |
| (2) Citizenship | Yes ☐ | No ☐ |
| (3) Occupation | Yes ☐ | No ☐ |

(b) If an organization:

| | | |
|---|---|---|
| (1) Name | Yes ☐ | No ☒ |
| (2) Ownership or control | Yes ☐ | No ☒ |
| (3) Branch offices | Yes ☐ | No ☒ |

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.**

3. If the registrant previously filed an Exhibit C[1], state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, has the registrant filed an updated Exhibit C? Yes ☐ No ☐

If no, please file the updated Exhibit C.

1 The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)



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4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

| Name | Residence Address | Citizenship | Position | Date Assumed |
|---|---|---|---|---|

(b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

| Name | Position | Date Ceased |
|---|---|---|

5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

| Name | Foreign Principal(s) Represented | Services |
|---|---|---|

(b) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

| Name | Residence Address | Citizenship | Position | Date Assumed |
|---|---|---|---|---|
| See Attachment A | | | | |

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

| Name | Position or Connection | Date Terminated |
|---|---|---|
| See Attachment A | | |

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

| Name | Position or Connection | Foreign Principal | Date Terminated |
|---|---|---|---|
| See Attachment A | | | |

6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing? Yes ☒ No ☐

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

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## II - FOREIGN PRINCIPAL

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

| Foreign Principal | Date of Termination |
|---|---|
| Dubai Department of Tourism & Commerce Marketing (DTCM) | Contract terminated due to COVID-19 in April 2020 |

8. Has the registrant added any new foreign principal(s)[2] during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

| Foreign Principal | Date Added |
|---|---|
| Saudi Basic Industries Corporation (SABIC) | February 2020 |

9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during the 6 month reporting period.

HDR Global Trading/BitMex

10. (a) Has the registrant filed Exhibits A and B for the newly added foreign principal(s), if any, listed in Item 8?

Exhibit A[3] Yes ☒ No ☐ N/A ☐

Exhibit B[4] Yes ☒ No ☐ N/A ☐

If no, please file the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal(s) whom the registrant represented during this 6 month period? Yes ☒ No ☐

If yes, has the registrant filed an amendment to these exhibits? Yes ☒ No ☐

If no, please file the required amendment.

2 The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

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## III - ACTIVITIES

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all activities and services:

| Foreign Principal | Activities/Services |
|---|---|
| Dubai Department of Tourism & Commerce Marketing (DTCM) | See Attachment B |
| HDR Trading/BitMex | See Attachment B |
| Saudi Basic Industries Corporation (SABIC) | See Attachment B |

12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity[5] as defined below? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Dubai Department of Tourism & Commerce Marketing (DTCM) - Provide public relations and marketing services to promote tourism and investment to Dubai

Set forth below in the required detail the registrant's political activities.

| Foreign Principal | Date | Contact | Method | Purpose |
|---|---|---|---|---|
| See Attachment B | | | | |

13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

| Foreign Principal | Activities/Services |
|---|---|

5 "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

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## IV - FINANCIAL INFORMATION

14. (a) **RECEIPTS-MONIES**

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, please explain why no monies were received.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.[6]

| Foreign Principal | Date Received | From Whom | Purpose | Amount | Subtotal |
|---|---|---|---|---|---|
| See Attachment C | | | | | |

Total

(b) **RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign[7], any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, has the registrant previously filed an Exhibit D[8] to its registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

If no, please file the required Exhibit D.

(c) **RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, has the registraint received any thing of value[9] other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

| Foreign Principal | Date Received | From Whom | Purpose | Thing of Value |
|---|---|---|---|---|

6, 7 A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal? Yes ☒ No ☐

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

| Foreign Principal | Date | Recipient | Purpose | Amount | Subtotal |
|---|---|---|---|---|---|
| See Attachment C | | | | | |

Total

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(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant disposed of anything of value[10] other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

| Foreign Principal | Date | Recipient | Purpose | Thing of Value |
|---|---|---|---|---|

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value[11] in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

| Date | Donor | Political Organization/Candidate | Method | Amount/Thing of Value |
|---|---|---|---|---|

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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## V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?[12] Yes ☒ No ☐

(b) If yes, identify each such foreign principal for which informational materials were disseminated and respond to the remaining items in this section.

See Attachment C and D for details

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, and specify the period of time and the amount.

| Foreign Principal | Period of Time | Amount |
|---|---|---|

18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials included the use of any of the following:

☒ Magazine or newspaper ☐ Advertising campaigns ☒ Press releases ☐ Pamphlets or other publications
☐ Lectures or speeches ☐ Radio or TV broadcasts ☐ Motion picture films ☐ Letters or telegrams
☒ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other *(specify)* _____

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

☐ Public officials ☒ Newspapers ☐ Libraries
☐ Legislators ☒ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other *(specify)* _____

20. Indicate the language used in the informational materials:

☒ English ☐ Other *(specify)* _____

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

If no, please please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☒

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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## VI - EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

| Date | Printed Name | Signature [13] | |
|---|---|---|---|
| August 31, 2020 | Randall Corley | /s/ Randall Corley | eSigned |
| | | | |
| | | | |
| | | | |

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

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## Supplemental Report Period 2-1-2020 through 7-31-2020

## ATTACHMENT A

### Question I. 5(b) Registrant:

During this six-month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than clerical or secretarial, or in a related similar capacity? **YES**

| NAME | RESIDENCE/ADDRESS | CITIZENSHIP | POSITION | FOREIGN PRINCIPAL | DATE ASSUMED |
|---|---|---|---|---|---|
| Lock, Benjamin | London, UK | British | Senior Director | Saudi Basic Industries Corporation (SABIC) | February 2020 |
| Mughal, Hannah | London, UK | British | Account Manager | Saudi Basic Industries Corporation (SABIC) | February 2020 |
| Hedley, Devon | London, UK | British | Assistant Account Executive | Saudi Basic Industries Corporation (SABIC) | February 2020 |
| Iorio, Bennett | London, UK | American | Account Manager | Saudi Basic Industries Corporation (SABIC) | February 2020 |
| Garvey, Alex | London, UK | British | Associate Director | Saudi Basic Industries Corporation (SABIC) | April 2020 |
| Cazalet, Eloise | London, UK | British | Senior Account Manager | Saudi Basic Industries Corporation (SABIC) | May 2020 |
| Reid, Ross | London, UK | British | Associate Director | Saudi Basic Industries Corporation (SABIC) | July 2020 |
| Dingwell, Makena | Washington, DC | American | Senior Account Executive | Saudi Basic Industries Corporation (SABIC) | July 2020 |
| Kuchman, Emily | Washington, DC | American | Account Executive | Dubai Tourism & Commerce Marketing | February 2020 |
| Newson, Alana | Washington, DC | American | Account Executive | Dubai Tourism & Commerce Marketing | February 2020 |
| Alvarez, Jessica | Washington, DC | American | Account Executive | Dubai Tourism & Commerce Marketing | February 2020 |
| Martin, Mary Elizabeth | Washington, DC | American | Account Executive | Dubai Tourism & Commerce Marketing | February 2020 |
| Valeriano, Julio | Washington, DC | Peruvian | VP Public Affairs | Dubai Tourism & Commerce Marketing | February 2020 |
| Ching, Lou Sek | Hong Kong | Chinese | Executive | HDR Trading/BitMex | February 2020 |
| Cheung, Wing Sze | Hong Kong | Chinese | Senior Manager | HDR Trading/BitMex | February 2020 |
| Xi Choo, Traceline Cui | Hong Kong | Malaysian | PR | HDR Trading/BitMex | February 2020 |
| Gosbee, Jeremy | Arlington, VA | U.S. | Senior VP | HDR Trading/BitMex | March 2020 |
| Chaquette, Margaret | Washington, DC | U.S. | Project Manager | HDR Trading/BitMex | March 2020 |
| Laws, George Benjamin | Seattle, WA | U.S. | EVP | HDR Trading/BitMex | April 2020 |
| Rayden, Max | New York, NY | British and Italian | Account Supervisor | HDR Trading/BitMex | July 2020 |

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**Question I. 5(d)**

Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6-month reporting period? **YES**

| NAME | POSITION | FOREIGN PRINCIPAL | DATE TERMINATED |
|---|---|---|---|
| Kohn, Darcy | Vice President | Saudi Basic Industries Corporation (SABIC) | April 2020 |
| Cummings, Brittany | Vice President | Dubai Tourism & Commerce Marketing | April 2020 |
| Kaplan, Emily Rebecca | Vice President | Dubai Tourism & Commerce Marketing | April 2020 |
| Furth, Isabelle | Account Supervisor | Dubai Tourism & Commerce Marketing | April 2020 |
| Gentles, Laura Morris | General Manager | Dubai Tourism & Commerce Marketing | April 2020 |
| Shante Randolph, De'Osha | Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Moyle, Eliza Rose | Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Stamp, Melissa | Assistant Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Kuchman, Emily | Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Newson, Alana | Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Alvarez, Jessica | Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Martin, Mary Elizabeth | Account Executive | Dubai Tourism & Commerce Marketing | April 2020 |
| Valeriano, Julio | VP Public Affairs | Dubai Tourism & Commerce Marketing | April 2020 |
| Lau, Yin Ting Tiffany | Senior Executive | HDR Trading/BitMex | November 2019* |
| McCabe, Ian Richard | Public Affairs | HDR Trading/BitMex | November 2019 |
| Neary, Sean | GM | HDR Trading/BitMex | November 2019 |
| Donahoe, Christopher Rayburn | Senior VP | HDR Trading/BitMex | November 2019* |
| Kuchman, Emily | Senior Account Executive | HDR Trading/BitMex | December 2019* |
| Young, Maxwell Harvey | Assistant Account Executive | HDR Trading/BitMex | May 2020 |
| Roddy, Katherine | Assistant Account Director | HDR Trading/BitMex | February 2020 |
| Chaquette, Margaret | Associate Account Director | HDR Trading/BitMex | April 2020 |
| Gosbee, Jeremy | Senior VP | HDR Trading BitMex | July 2020 |
| Laws, George Benjamin | Executive VP | HDR Trading/BitMex | July 2020 |

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| | | | |
|---|---|---|---|
| Calabro, Renee | Head of Capital Markets | HDR Trading/BitMex | January 2020* |

*Reported as inactive on previous Supplemental Report dated 1-31-2019

**Question I. 5(e)**

Have any employees or individuals, who have filed a short form registration statement, terminated their connection with the registrant during this 6-month reporting period? **YES**

| NAME | POSITION | FOREIGN PRINCIPAL | DATE TERMINATED |
|---|---|---|---|
| Holsan-Kana, Holli Lynn | PR | Saudi Basic Industries Corporation (SABIC) | April 2020 |
| Almeleh, Shira Eloise | PR | HDR Trading/BitMex | July 2020 |
| Lee, Jonathan | PR | HDR Trading/Bit-Mex | February 2020 |
| Xi Choo, Traceline Cui | PR | HDR Trading/Bit-Mex | April 2020 |

## ATTACHMENT B

**Question III.11 Activities:**

During this 6-month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? **YES**

If yes, identify each foreign principal and describe in full detail your activities and services:

***Dubai Department of Tourism & Commerce Marketing***

***Activities: February 1, 2020 through July 31, 2020***

- Performed public relations and media engagement activities for the Dubai Department of Tourism and Commerce Marketing
- Pitching – developed pitches to U.S. media to showcase different aspects of Dubai's tourism offerings
- Media Meetings/Planning:
  - Planning for 2020 activities, including upcoming March 2020 FAM trip

FAM Trips:

- March FAM – Hosted journalists in Dubai for a trip focused on Dubai's appeal as a food destination.

NOTE: Contract cancelled in April due to COVID-19

***Saudi Basic Industries Corporation (SABIC)***

***Activities: February 1, 2020 – July 31, 2020:***

Performed communications counsel and media support around the B20 Summit

- Distribution of press releases and to communicate progress, announce participation in events, or announce release of reports.

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***HDR Global Trading/BitMex***

***Activities: February 1, 2020 through July 31, 2020***

Performed proactive and reactive press office media engagement activities in response to reporter inquiries and press release dissemination at Hong Kong team's direction:

- Pitched briefings to US-based journalists for global cryptocurrency trade and business publications, around the company's new holding structure.
- Distributed reactive statements, when approved by client, to cryptocurrency trade reporters based in the US in response to specific incidents and reporter queries.
- Requested additional information on stories from US based reporters via email and phone conversations, discussed stories with reporters to inform response strategy and approach for the team.
- Advised team on media relations strategy when responding to enquiries from US-based reporters.
- Distributed press release and followed up with cryptocurrency trade reporters based in the US regarding specific platform and company updates.

## ATTACHMENT C

### Question IV. 14(a) Receipts-Monies

During this 6-month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? **YES**

**DUBAI TOURISM & COMMERCE MARKETING**

RECEIPTS during the period February 1, 2020 through July 31, 2020

| DATE | PURPOSE | AMOUNT |
|---|---|---|
| Feb-20 | Retainer | $26,359.86 |
| Mar-20 | Retainer | $26,360.86 |
| Apr-20 | Retainer | $17,248.05 |
| May-20 | Retainer | $0.00 |
| Jun-20 | Retainer | $0.00 |
| Jul-20 | Retainer | $0.00 |
| TOTAL | | **$69,968.77** |

**Note: Contract cancelled in April 2020 due to COVID-19**

**SAUDI BASIC INDUSTRIES CORP (SABIC)**

RECEIPTS during the period February 1, 2020 through July 31, 2020

| DATE | PURPOSE | AMOUNT |
|---|---|---|
| **2/24/2020** | Fee & OOP | 1,211,090.90 |
| **3/30/2020** | Fee & OOP | 605,545.45 |
| **4/14/2020** | Fee & OOP | 605,545.45 |
| **5/18/2020** | Fee & OOP | 65,000.00 |
| **6/15/2020** | Fee & OOP | 727,259.74 |
| **7/20/2020** | Fee & OOP | 702,259.74 |
| TOTAL | | **$3,916,701.28** |

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## HDR Global Trading/BitMex

RECEIPTS during the period February 1, 2020 through July 31, 2020

| DATE | PURPOSE | AMOUNT |
|---|---|---|
| 2/21/2020 | Professional Fees - 2019 | 140,370.75 |
| 2/21/2020 | Professional Fees - Dec 2019 | 71,916.00 |
| 2/28/2020 | Professional Fees - Jan 2020 | 62,166.75 |
| 3/25/2020 | Credit - trip & transportation expenses | (23,770.78) |
| 4/15/2020 | Professional Fees - Feb 2020 | 76,081.25 |
| 4/15/2020 | Revised trip & transportation expenses | 23,322.51 |
| 5/28/2020 | Professional fee for Welcome Email | 44,696.00 |
| 5/28/2020 | Professional fee for SEO | 51,810.00 |
| 5/28/2020 | Professional fee for Mar 2020 | 89,324.00 |
| 7/16/2020 | PRNewswire - Editorial Services cost | 9,165.82 |
| 7/29/2020 | Credit Trip & transporation expenses | (23,322.51) |
| 7/31/2020 | Revised trip & transportation expenses | 20,960.22 |
| | | |
| TOTAL | | **542,720.01** |

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**ATTACHMENT C**

**Question IV. 15(a) Disbursements-Monies**

During this 6-month reporting period, have you

(1) Disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? **YES**

## DUBAI TOURISM & COMMERCE MARKETING

DISBURSEMENTS during the period February 1, 2020 through July 31, 2020

| DATE | PURPOSE | AMOUNT |
|---|---|---|
| **10/16/2019** | Airfare | 25.71 |
| **10/26/2019** | Airfare | 220.00 |
| **10/27/2019** | Airfare | 1,138.60 |
| **10/23/2019** | Art/Office Supplies | 26.48 |
| **10/31/2019** | Art/Office Supplies | 88.15 |
| **9/9/2019** | Meals/Entertainment | 250.01 |
| **6/13/2019** | Ground Transit Charges | 69.09 |
| **9/7/2019** | Ground Transit Charges | 152.24 |
| **9/14/2019** | Ground Transit Charges | 156.06 |
| **10/31/2019** | Ground Transit Charges | 117.67 |
| **10/31/2019** | Hotels/Lodging | 4,115.50 |
| **11/2/2019** | Messenger / Courier Expense | 454.87 |
| **10/31/2019** | Postage/Shipping | 101.26 |
| **8/22/2019** | Telephone | 77.83 |
| **10/26/2019** | Train/Rail Transportation | 220.37 |
| **8/1/2019** | Travel-Meals / Subsistence | 71.07 |
| **9/7/2019** | Travel-Meals / Subsistence | 74.45 |
| **9/9/2019** | Travel-Meals / Subsistence | 1,086.96 |
| **9/11/2019** | Travel-Meals / Subsistence | 1,273.98 |
| **9/12/2019** | Travel-Meals / Subsistence | 162.01 |
| **9/14/2019** | Travel-Meals / Subsistence | 140.75 |
| **10/31/2019** | Travel-Meals / Subsistence | 825.88 |
| | **$** | **10,848.94** |

**NOTE: Above Disbursements were billed 3/14/2020-Contract cancelled April 2020 due to COVID 19**

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## SAUDI BASIC INDUSTRIES CORP (SABIC)

DISBURSEMENTS during the period February 1 2020 through July 31, 2020

| DATE | PURPOSE | AMOUNT |
|---|---|---|
| **2/29/2020** | Airfare | 10,367.64 |
| **2/29/2020** | Art/Office Supplies | 55.79 |
| **3/31/2020** | Airfare | 73,762.80 |
| **3/31/2020** | Art/Office Supplies | 127.42 |
| **3/31/2020** | Business Svc(Fax, Print, Copy) | 33.40 |
| **3/31/2020** | Consulting Other | 224.58 |
| **3/31/2020** | Currency Conversion Fees | 194.26 |
| **3/31/2020** | Employee Gifts/Awards | 80.23 |
| **3/31/2020** | Ground Transit Charges | 720.34 |
| **3/31/2020** | Hotels/Lodging | 19,642.54 |
| **3/31/2020** | Internet Connectivity Fee | 35.98 |
| **3/31/2020** | Laundry Service | 479.08 |
| **3/31/2020** | Limousine/Car Service | 272.85 |
| **3/31/2020** | Meals - Staff | 2,888.10 |
| **3/31/2020** | Parking | 102.00 |
| **3/31/2020** | Tips & Gratuities | 39.98 |
| **3/31/2020** | Travel-Meals / Subsistence | 2,048.01 |
| **4/30/2020** | Airfare | 7,562.86 |
| **4/30/2020** | Business Svc(Fax, Print, Copy) | 479.76 |
| **4/30/2020** | Currency Conversion Fees | 631.58 |
| **4/30/2020** | Virtual Event Speaker/Moderator Fee | 25,000.00 |
| **4/30/2020** | Ground Transit Charges | 131.12 |
| **4/30/2020** | Hotels/Lodging | 9,598.61 |
| **4/30/2020** | Laundry Service | 49.26 |
| **4/30/2020** | Limousine/Car Service | 1,251.83 |
| **4/30/2020** | Meals - Staff | 373.89 |
| **4/30/2020** | Out-of-Pocket Costs | 305.00 |
| **4/30/2020** | Telephone | 0.80 |
| **4/30/2020** | Tips & Gratuities | 219.91 |
| **4/30/2020** | Travel-Meals / Subsistence | 36.00 |
| **5/31/2020** | Airfare | (2,636.06) |
| **5/31/2020** | Freelancer | 2,440.00 |
| **5/31/2020** | Stock Photography | 1,823.25 |
| **6/30/2020** | Airfare | (6,862.30) |
| **6/30/2020** | Business Svc(Fax, Print, Copy) | 236.66 |
| **6/30/2020** | Fees and Licenses | 500.00 |
| **6/30/2020** | Freelancer | 6,060.00 |
| **6/30/2020** | Legal Services | 3,250.00 |
| **6/30/2020** | Press Release | 2,004.00 |
| **6/30/2020** | Stock Photography | 5,412.91 |
| **7/31/2020** | Consulting Other | 75.00 |
| **7/31/2020** | Employee Relocation | 1,250.00 |
| **7/31/2020** | Legal Services | 6,671.65 |
| **7/31/2020** | Media Services | 590.00 |
| **7/31/2020** | Stock Photography | 868.72 |
| **7/31/2020** | Translation Services | 463.82 |
| TOTAL | **$** | **178,863.27** |

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| **HDR Global Trading/BitMex** | | |
|---|---|---|
| **DISBURSEMENTS during the period February 1, 2020 through July 31, 2020** | | |
| **DATE** | **PURPOSE** | **AMOUNT** |
| 6/28/2020 | Meals/Entertainment | 65.28 |
| 3/27/2020 | Taxi | 5.52 |
| 6/28/2020 | Taxi | 49.71 |
| 4/16/2020 | FARA Registration costs | 610.00 |
| 2/3/2020 | TAXI | 3.09 |
| 3/5/2020 | TAXI | 29.34 |
| 3/16/2020 | TAXI | 3.08 |
| 7/17/2020 | Editorial Services | 9,165.82 |
| TOTAL | | **9,931.84** |

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